

*Handwritten signature/initials*

Practitioner's Docket No. 2004DE101

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: David Blum et al.

Application No.: 10/586,093  
Filed: July 13, 2006  
For: Triphendioxazine Pigment

Group No.:

**Commissioner for Patent**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

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Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith).

1. ☒ Enclosures accompanying this Information Disclosure Statement are:
  - 1a. ☒ Form PTO/SB/08A.
  - 1b. ☒ Copies of Information Disclosure Statement citations.
  - 1c. ☐ PCT Search Report
  - 1d. ☐ Copies of Co-pending U.S. Applications.
2. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(b) with the filing of the U.S. patent application. Accordingly, no fee or §1.97(e) Statement is required.
3. ☒ This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
4. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance. The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2060.
5. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(e) in that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Accordingly, no fee is required.
6. ☐ This Information Disclosure Statement is filed in conjunction with a Request For Continued Examination. Accordingly, no fee or §1.97(e) Statement is required.

7. ☒ The English language documents identified by reference in the TRANSLATION Section of the foreign patents list of the attached PTO/SB/08A Form are believed to be a concise statement, or the equivalent or substantial equivalent to the foreign language documents cited in the attached Form PTO/SB/08A.

Respectfully submitted,

  
\_\_\_\_\_  
Anthony A. Bisulca  
Registration No. 40,913

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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|                        |                   |
|------------------------|-------------------|
| Application Number     | 10/586,093        |
| Filing Date            | July 13, 2006     |
| First Named Inventor   | David Blum et al. |
| Art Unit               |                   |
| Examiner Name          |                   |
| Attorney Docket Number | 2004DE101         |

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|-------|---|----|---|
| Sheet | 1 | of | 2 |
|-------|---|----|---|

**U.S. PATENT DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number                            | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|-----------------------|--------------------------|--|--------------------------------|--|---|
|                       |                          | Number - Kind Code <sup>2</sup> (if known) |                                |  |   |
|                       | AA                       | 2564381                                    | 8/14/1951                      | Robbins  |   |
|                       | AB                       | 4336377                                    | 6/22/1982                      | Adam et al.  |   |
|                       | AC                       |  |                                |  |   |
|                       | AD                       |  |                                |  |   |
|                       | AE                       |  |                                |  |   |
|                       | AF                       |  |                                |  |   |
|                       | AG                       |  |                                |  |   |
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|                       | AP                       |  |                                |  |   |
|                       | AQ                       |  |                                |  |   |
|                       | AR                       |  |                                |  |   |

**FOREIGN PATENT DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Foreign Document Number   | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Translation      | T <sup>6</sup>           |
|-----------------------|--------------------------|---|-----------------------------------|--|------------------|--------------------------|
|                       |                          | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known) |                                   |  |                  |                          |
|                       | BA                       | FR 789805   | 11/7/1935                         | France   | English Abstract | <input type="checkbox"/> |
|                       | BB                       | EP 0400429  | 12/5/1990                         | EPO  | English Abstract | <input type="checkbox"/> |
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|                       | BD                       |   |                                   |  |                  | <input type="checkbox"/> |

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|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
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|   |   |    |   | Application Number       | 10/586,093        |
|   |   |    |   | Filing Date              | July 13, 2006     |
|   |   |    |   | First Named Inventor     | David Blum et al. |
|   |   |    |   | Art Unit                 |                   |
|   |   |    |   | Examiner Name            |                   |
| Sheet   | 2 | of | 2 | Attorney Docket Number   | 2004DE101         |

| Examiner Initials* | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup>           |
|--------------------|-----------------------|--|--------------------------|
|                    | CA                    | PCT International Search Report for PCT/EP2005/000197, Mailed 7/4/2005.  | <input type="checkbox"/> |
|                    | CB                    | English Translation of PCT International Preliminary Report on Patentability for PCT/EP2005/000197, 10/3/2006.   | <input type="checkbox"/> |
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